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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/390,910 09/07/99 PAN J 552.111US01

0242/0924

STEVEN R FUNK
ALTERA LAW GROUP LLC
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MINNEAPOLIS MN 55343

NOT ASSIGNED

2 mo mp 24 Nov '99

6 mo mp 24 2731 March '00
DATE MAILED:

09/24/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

Est 9/28/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 1220.00

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 252.00 for 14 total claims over 20.

\$ 78.00 for 1 independent claims over 3.

Additional fees: 12/14/1999 **Non-dependent claim surcharge.**

11/26/1999 **Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.**

01 FC:101 **Oath or declaration:** -120.00 DP

02 FC:102 **is missing or unsigned:** -78.00 DP

04 FC:103 **does not cover the newly added items.**

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

12/14/1999 **Signature of joint inventor(s) is missing from the oath or declaration:**

01 FC:101 **Signature of joint inventor(s) is missing from the oath or declaration:** -760.00 DP

02 FC:102 **Signature of joint inventor(s) is missing from the oath or declaration:** -252.00 DP

03 FC:103 **An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.**

04 FC:104 **A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).**

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(b), previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

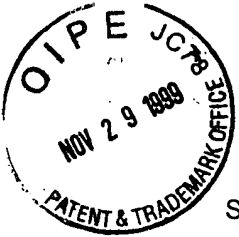
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Edna Evans

Customer Service Center
Initial Patent Examination Division (703) 308-1202

11/30/1999 HKAMARA 00000158 0939399
01 FC:101 760.00 DP
02 FC:102 252.00 DP
03 FC:103 120.00 DP
04 FC:104 252.00 DP
04 FC:105 130.00 DP
04 FC:106 446-824



S/N 09/390910

Sectot

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	PAN, Jin-Yi	Examiner:	Unknown
Serial No.:	09/390910	Group Art Unit:	2731
Filed:	September 7, 1999	Docket No.:	552.111US01
Title:	SYSTEM AND METHOD FOR FULLY UTILIZING AVAILABLE OPTICAL TRANSMISSION SPECTRUM IN OPTICAL NETWORKS		

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on November 24, 1999.

Steven R. Funk

Name

Signature

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

- ☒ Check(s) in the amount of \$1220.00 for Missing Parts fee, which includes filing fee
- ☒ Signed Declaration and Power of Attorney
- ☒ Other: Form PTO-1533, Part 2
- ☒ Transmittal Sheet
- ☒ Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC
10749 Bren Road East, Opus 2
Minneapolis, MN 55343
612-912-0523

Date: November 24, 1999

By: 

Steven R. Funk
Reg. No. 37,830

SRF/tmj